

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)	
)	
Mark Sceats, et al.)	
)	Examiner: Not Yet Assigned
Application No.: 10/519,903)	
)	Art Unit: 2873
Filed: November 16, 2005)	
)	
For: WRITING OF PHOTO-INDUCED)	
STRUCTURES)	
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Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

On March 3, 2005, we received the Official Filing Receipt dated February 24, 2005 in connection with the above-referenced patent application (copy enclosed). However, Applicants respectfully note that one of the inventors' names needs to be corrected and the cities of residence for both inventors need to be added due to a PTO error.

Please correct the second inventor's name as follows:

FROM:

NAME: DMITRI YU STEPANOV

TO:

NAME: DMITRII YU STEPANOV

Applicants also respectfully note that the city of residence needs to be shown for both inventors, as follows:

MARK SCEATS – PYRMONT, NEW SOUTH WALES, AUSTRALIA

DMITRII YU STEPANOV – CROYDON PARK, NEW SOUTH WALES, AUSTRALIA

Therefore, correction with respect to the name of the second inventor and the city of residence for both inventors listed in the Official Filing receipt of the present application is respectfully requested. Enclosed is a copy of the signed Declaration/ Power of Attorney and a copy of the filing receipt marked with the appropriate corrections.

If there are any additional fees, please charge them to Deposit Account 02-2666.

If you have any questions, please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 31, 2007

Stephen M. De Klerk

Stephen M. De Klerk
Reg. No. 46,503

Attorney's Docket No.: 006797.P002

Patent

First Named Inventor: Mark Sceats

Check One:

Complete If Known:

☐ Declaration Submitted with
Initial Filing OR
☒ Declaration Submitted After
Initial Filing (Surcharge under
37 C.F.R. § 1.16(e) Required)

Application No.: 10/519,903
Filing Date: December 27, 2004
Art Unit: Not Yet Assigned
Examiner Name: Not Yet Assigned

DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

WRITING OF PHOTO-INDUCED STRUCTURES

(Title of the Invention)

the specification of which

☒ is attached hereto
was filed on (MM/DD/YYYY) 12/27/2004 as
as United States Application Number 10/519,903
or PCT International Application Number _____
and was amended on (MM/DD/YYYY) _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed?		Certified Copy Attached?	
<u>PCT/AU2003/000823</u> (Number)	<u>PCT</u> (Country)	<u>06/27/2003</u> (Foreign Filing Date - MM/DD/YYYY)	<u>X</u> Yes	<u> </u> No	<u> </u> Yes	<u>X</u> No
<u>PS 3284</u> (Number)	<u>Australia</u> (Country)	<u>06/28/2003</u> ² (Foreign Filing Date - MM/DD/YYYY)	<u>X</u> Yes	<u> </u> No	<u> </u> Yes	<u>X</u> No
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Foreign Filing Date - MM/DD/YYYY)	<u> </u> Yes	<u> </u> No	<u> </u> Yes	<u> </u> No

Appointment of Patent Practitioners:

I hereby appoint the patent practitioners associated with the **Customer Number 08791** as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

If this patent application is assigned, then the undersigned hereby authorizes the patent attorneys and patent agents named herein to accept and follow instructions from the assignee(s) as to any action to be taken in the United States Patent and Trademark Office regarding this application without direct communication between the patent attorneys and patent agents and the undersigned. In the event of a change in the persons from whom instructions may be taken, at least one patent attorney or patent agent named herein will be so notified by the undersigned.

Direct all correspondence to (check one):

 X Customer Number **08791** OR

 Correspondence Address Below:

Stephen M. De Klerk
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
Telephone: (408) 720-8300
Fax: (408) 720-8383

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Full Name: Mark Sceats
(Given Name (First and Middle (if any)), Family Name (or Surname), and Suffix (if any))

Inventor's Signature [Signature] Date 13.10.2005

Residence Pymont, New South Wales, Australia Citizenship Australia
(City, Country) (Country)

Mailing Address 102/38 Refinery Drive
Pymont, New South Wales 2006, Australia

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Full Name: Dmitri Yu Stepanov
(Given Name (First and Middle (if any)), Family Name (or Surname), and Suffix (if any))

Inventor's Signature [Signature] Date 11.10.2005

Residence Croydon Park, New South Wales, Australia Citizenship Russia
(City, Country) (Country)

Mailing Address 7/126 Croydon Avenue
Croydon Park, New South Wales 2133, Australia

3677, P002
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 SMD



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 Alexandria, Virginia 22313-1450
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APPL NO	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/519,903	11/16/2005	2873	1030	6797P002 /	8	1	1

CONFIRMATION NO. 2665

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
 12400 WILSHIRE BOULEVARD
 SEVENTH FLOOR
 LOS ANGELES, CA 90025-1030

FILING RECEIPT



0000000018127480

Date Mailed: 02/24/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Armont
 Mark Sceats, New South Wales, AUSTRALIA;
~~Dmitri~~ Yu Stepanov, New South Wales, AUSTRALIA;
 Dmitrii *Cnylon Park*

RECEIVED

MAR 03 2006

Power of Attorney: The patent practitioners associated with Customer Number 08791.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
 LOS ANGELES

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/AU03/00823 06/27/2003 ✓

Foreign Applications

AUSTRALIA PS 3284 06/28/2002 ✓

ENTERED

MAR 06 2006

Projected Publication Date: 06/01/2006 ✓

STATUS DB/LA

Non-Publication Request: No

Early Publication Request: No

Title

Writing of photo-induced structures ✓

Preliminary Class

359

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).